

# COMPLIANCE PROFESSIONAL SERVICES

We know first-hand what it takes to succeed in the compliance world. Our team of seasoned consultants has real-life banking and exam experience. You'll work closely with them as they pull, analyze and interpret reports, conduct regulatory assessments, provide regulatory education and much more. With Marquis Professional Services at your side, you'll be better equipped to tell your story – and remain calm while doing it.



## HMDA CONSULTING

- With Home Mortgage Disclosure Act (HMDA), the pressure to maintain data integrity is intensifying. We'll let you know where there's a problem so you can correct it early and avoid a clean-up project later.
- We'll also look at your performance vs. your peers, perform data integrity and process reviews, conduct file reviews and more. We'll provide geocoding, edits, reports, and mapping services related to HMDA. And then we'll help you understand it all.

## CRA CONSULTING

- Get the help you need to satisfy regulatory and Board expectations. We'll perform a CRA file review, evaluate your Assessment Area(s), and prepare and analyze your CRA performance.
- We'll identify and evaluate all your Community Development activities: services, investments, loans and donations. Then we'll put it in context. With a Marquis Compliance Expert on your side, you will be exam ready!

## FAIR LENDING CONSULTING

- From pricing and underwriting, to process to pattern, Fair Lending takes on many forms. What is your exposure to Fair Lending risk? Can you be sure senior management understands? That's where Marquis has your back. We'll explain the implementing regulations, update everyone on the latest issues, and assist you in identifying high risk areas. There are no "do-overs" with Fair Lending, you have to get it right.

**We do all the work.  
You get results that matter.**

**OUR MISSION.** To make it easier for you to assemble, organize and translate complex data, behaviors and needs into actionable solutions.

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**MARQUIS**

# TRAINING OPTIONS

- Software training for CenTrax NEXT clients is always free at our Plano HQ (Dallas). Training is also available for a fee as an on-site, customized option. Imagine your staff quickly and precisely trained on the tasks and functions that apply specifically to them. In addition, webinars are available to all CenTrax clients who desire help on specific bite-sized functions. Join us for these complimentary web-based training events anytime!

## MEET COMPLIANCE HEAD-ON WITH CONFIDENCE.

- Compliance creates a stressful demand on any financial institution, large or small. Even if your compliance department has the necessary expertise, there never seems to be enough time to do everything. We take the pressure off by gathering all the data, performing analysis and then walking you through what we found.
- With Marquis Professional Services, you'll know where your institution stands, if any risk is involved and how best to tell your story. Our experts will make sure you're prepared, informed and on-task for whatever's to come.

**You don't need to do it yourself.  
You need to make sure it gets done.**

*"They interacted seamlessly with our bank team. I'm very pleased with the project results, but even more so, with the contributions and recommendations made to enhance our compliance management activities. We've developed a true partnership with Marquis."*

Steve Bronnenberg  
Senior Vice President, Director of Internal Audit  
NexBank